

Electronic Acknowledgement Receipt

EFS ID:	8861516
Application Number:	10698140
International Application Number:	
Confirmation Number:	2938
Title of Invention:	SYSTEM AND METHOD FOR PROVIDING FINANCIAL ADVICE FOR AN INVESTMENT PORTFOLIO
First Named Inventor/Applicant Name:	James D. Peterson
Customer Number:	20350
Filer:	Chia-Chi A. Li/Rosario Ysip
Filer Authorized By:	Chia-Chi A. Li
Attorney Docket Number:	020425-105900US
Receipt Date:	17-NOV-2010
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1510
RAM confirmation Number	5714
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Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	2010_11_17_ISSUEFEE_020425-105900US.pdf	124041 3857f11fc0e57ba02b66490ef13d116c5.doc	no	1

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	30280 3c152e2ff4691f1-40f6cd291a48282e979a99b	no	2
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Warnings:**Information:****Total Files Size (in bytes):**

154321

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/D/O/E/O/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.